

# **Thermal expansion anisotropy of compounds predicted using DFT calculations**

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# Introduction

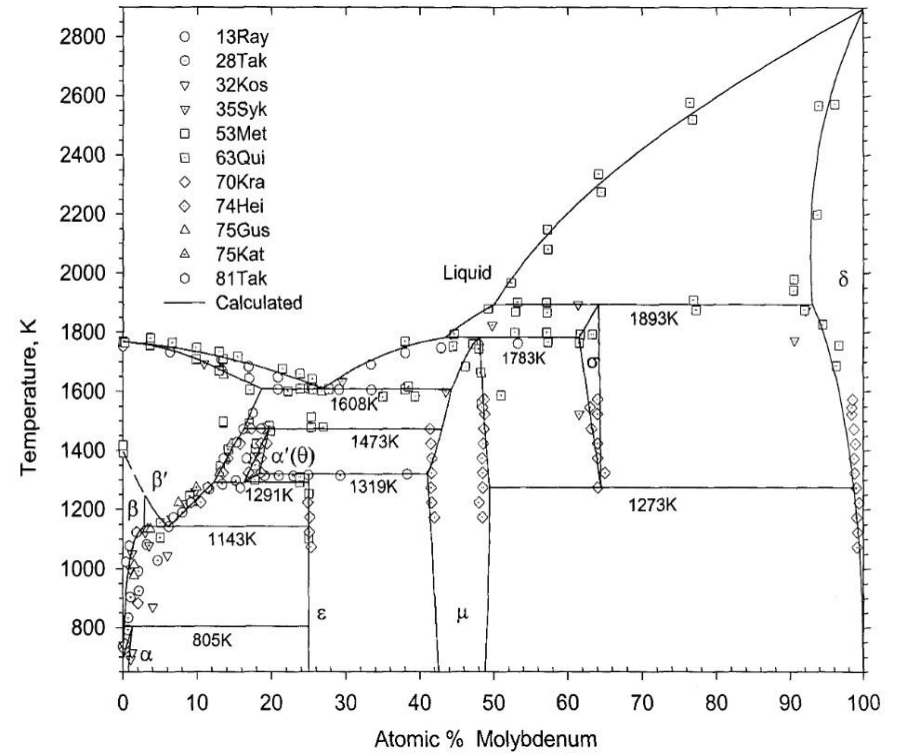
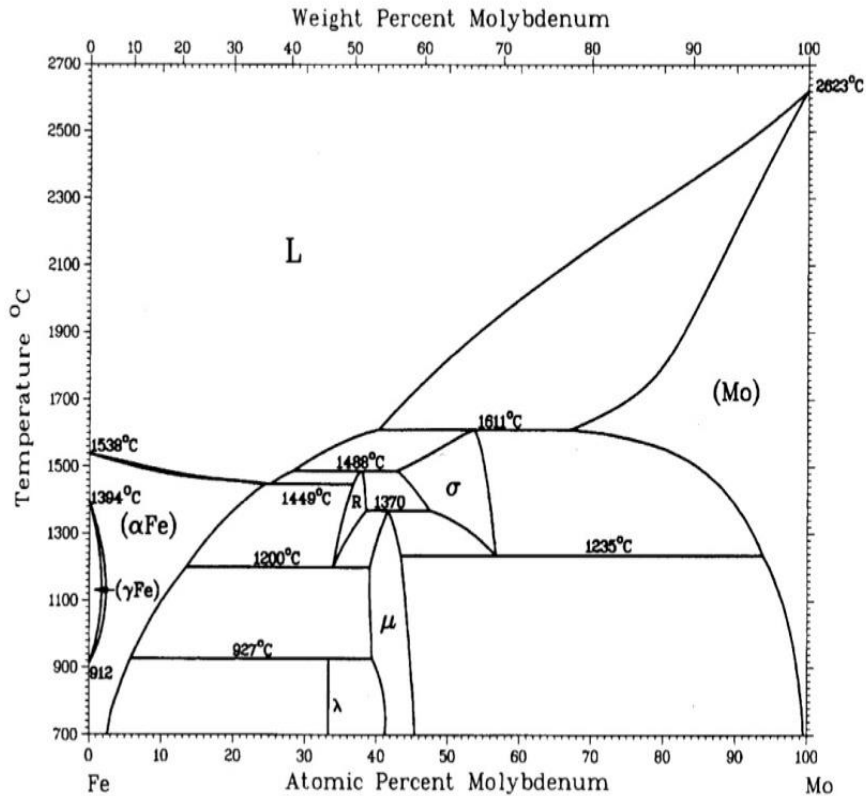


Fig. 1. Phase diagrams: a) Fe-Mo system according T. Massalski et al.; and b) Co-Mo system evaluated by A. Davydov et al.

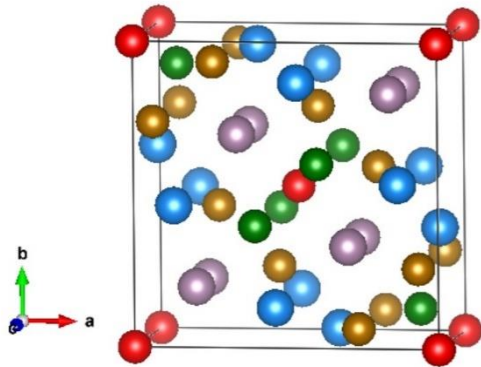
# Topologically close-packed (TCP) model binary phases: Laves ( $\lambda$ -), $\sigma$ - and $\mu$ - phases

$\text{Fe}_7\text{Mo}_8$   $\sigma$ -phase

Lattice	atom	number
1 (2a)	Fe	2
2 (4f)	Fe	4
3 (8i)	Mo	8
4 (8i')	Mo	8
5 (8j)	Mo	8

$a = 8.765, c = 4.768$  (Å)

$\alpha = \beta = \gamma = 90$

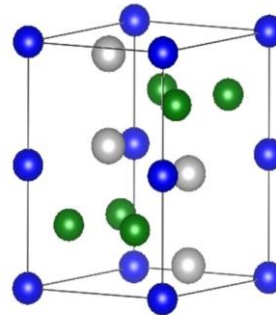


C14  $\text{Fe}_2\text{Mo}$   $\lambda$ -phase

Lattice	atom	number
1 (2a)	Fe	2
2 (6h)	Fe	6
3 (4f)	Mo	4

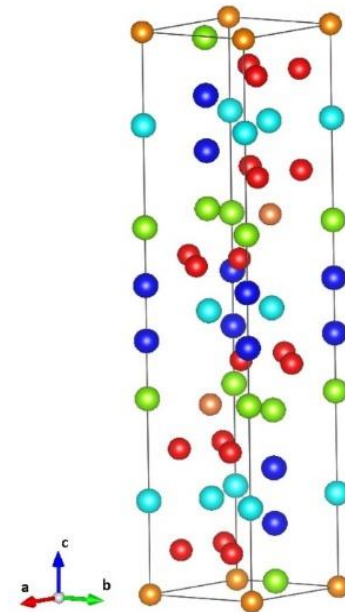
$a = 4.765, c = 9.243$  (Å)

$\alpha = \beta = 90, \gamma = 120$



$\text{Co}_7\text{Mo}_6, \text{Fe}_7\text{Mo}_6$   $\mu$ -phase

Lattice	atom	number
1 (3a)	Fe	3
2 (18h)	Fe	18
3 (c)	Mo	6
4 (c')	Mo	6
5 (c'')	Mo	6



$a = 4.765, c = 25.768$  (Å)  
 $\alpha = \beta = 90, \gamma = 120$

# Difficulties in modeling the thermal expansion of a solid

Quasi-harmonic approximation:

$$F(T, V) = E_{tot}(V) + F_{el}(T, V) + F_{vib}(T, V) + F_{mag}(T, V) \quad (1)$$

$$E_{el}(T, V) = N_A \int_{-\infty}^{\infty} n(\epsilon, V) f(\epsilon, T) \epsilon d\epsilon - N_A \int_{-\infty}^{\epsilon_F} n(\epsilon, V) d\epsilon \quad (2)$$

$$F_{phonon}(V, T) = k_B T \int_0^{\infty} \ln \left[ 2 \cdot \sinh \frac{\hbar \omega}{2k_B T} \right] g(\omega, V) d\omega \quad (3)$$

$$S_{vib}(T, V) = 3N_A k_B \left[ \frac{4}{3} D \left( \frac{\theta_D}{T} \right) - \ln \left( 1 - \exp \left( -\frac{\theta_D}{T} \right) \right) \right] \quad (4)$$

$$\gamma = -1 - \frac{V}{2} \frac{\partial^2 P / \partial V^2}{\partial P / \partial V}$$

$$\theta_D(V) = \theta_{D0} \left( \frac{V_0}{V} \right)^\gamma$$

Murnaghan equation of state:

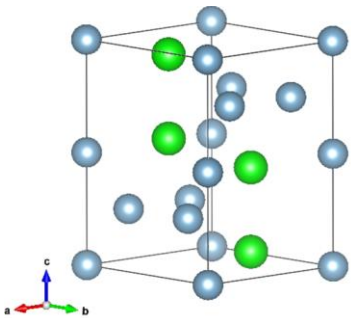
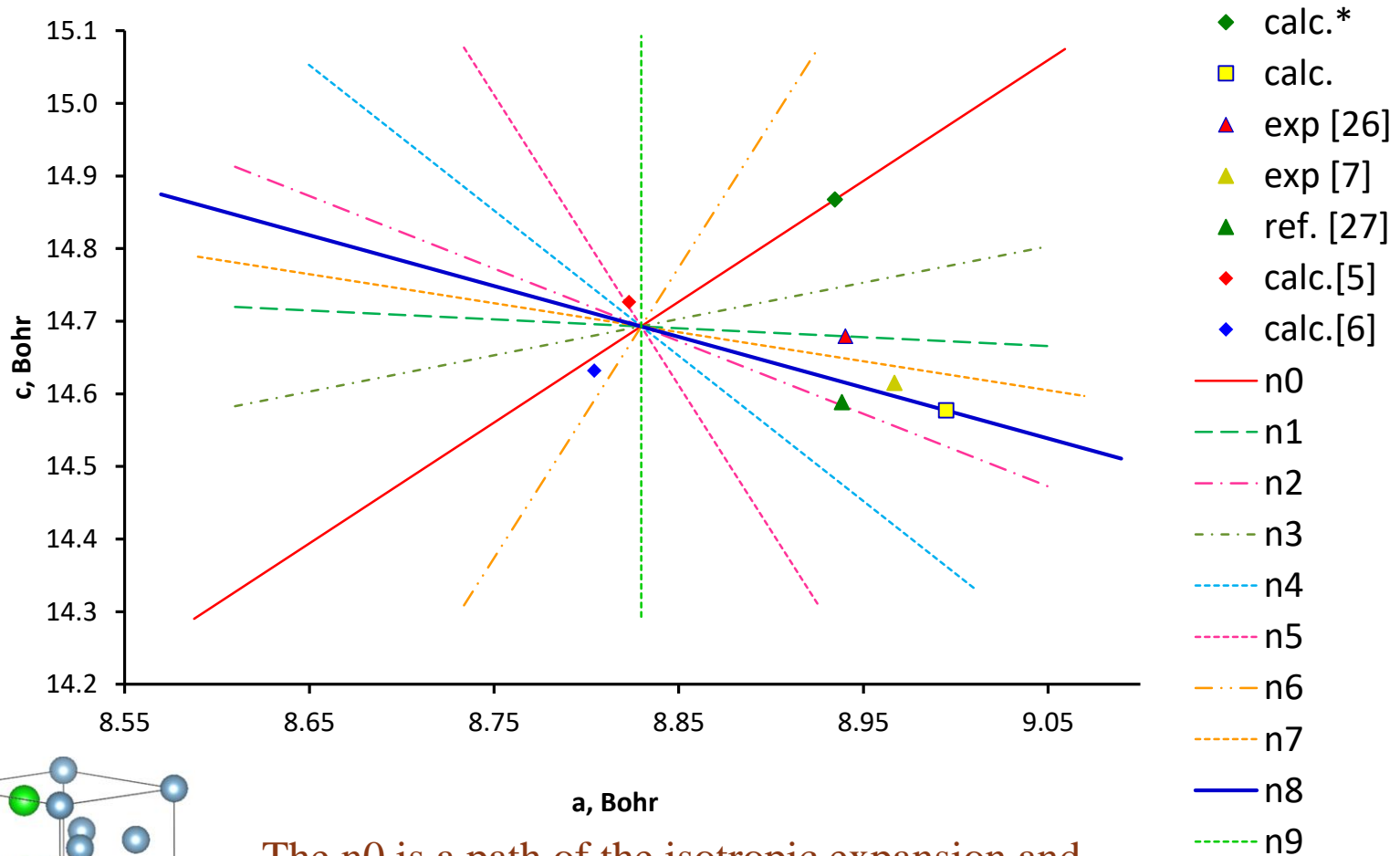
$$E(V) = \frac{BV}{B'(B'-1)} \left[ B'(1 - \frac{V_0}{V}) + (\frac{V_0}{V})^{B'} - 1 \right] + E(V_0) \quad (5)$$

Modification of equations are required :

$$P(a, c) = -\frac{dE}{dV} = -\frac{1}{\frac{\sqrt{3}}{2} a^2 \cdot c} \left[ \frac{2}{a} \frac{\partial E(a, c)}{\partial a} + \frac{1}{c} \frac{\partial E(a, c)}{\partial c} \right]^{-1} \quad (7)$$

$$V(a, c) = \frac{\sqrt{3}}{2} a^2 \cdot c \quad (6)$$

# Search of Thermal Expansion Path (STEP) method, application to Laves phase C14 Fe<sub>2</sub>Mo



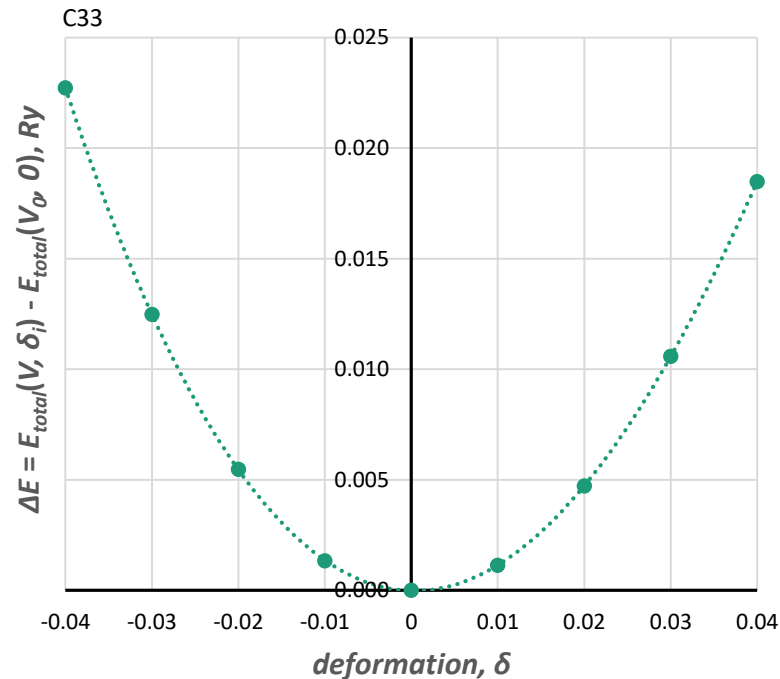
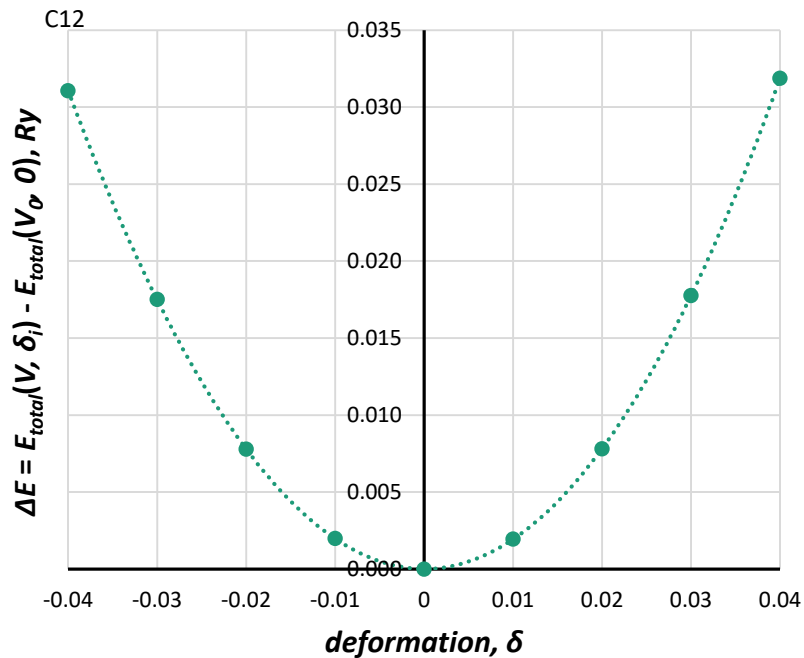
The n0 is a path of the isotropic expansion and contraction where the  $c/a$  ratio remains constant.

\* calculated in this work at  $T = 1073$  K by applying the quasi-harmonic Debye - Grüneisen theory without accounting magnetic contributions to the free energy.

## Distortion matrices for calculating elastic constants $C_{ij}$

Distortion matrices*	Energy change due to applied deformation
$D_1 = \begin{pmatrix} 1 + \delta & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\Delta E = V_0(\tau_1\delta + \frac{C_{11}}{2}\delta^2)$
$D_2 = \begin{pmatrix} \frac{1 + \delta}{(1 - \delta^2)^{1/3}} & 0 & 0 \\ 0 & \frac{1 - \delta}{(1 - \delta^2)^{1/3}} & 0 \\ 0 & 0 & \frac{1}{(1 - \delta^2)^{1/3}} \end{pmatrix}$	$\Delta E = V_0 \left[ (\tau_1 - \tau_2)\delta + \frac{1}{2}(C_{11} + C_{22} - 2C_{12})\delta^2 \right]$
$D_3 = \begin{pmatrix} \frac{1 + \delta}{(1 - \delta^2)^{1/3}} & 0 & 0 \\ 0 & \frac{1}{(1 - \delta^2)^{1/3}} & 0 \\ 0 & 0 & \frac{1 - \delta}{(1 - \delta^2)^{1/3}} \end{pmatrix}$	$\Delta E = V_0 \left[ (\tau_1 - \tau_3)\delta + \frac{1}{2}(C_{11} + C_{33} - 2C_{13})\delta^2 \right]$
$D_4 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 + \delta \end{pmatrix}$	$\Delta E = V_0(\tau_3\delta + \frac{C_{33}}{2}\delta^2)$
$D_5 = \begin{pmatrix} \frac{1}{(1 - \delta^2)^{1/3}} & 0 & 0 \\ 0 & \frac{1}{(1 - \delta^2)^{1/3}} & \frac{\delta}{(1 - \delta^2)^{1/3}} \\ 0 & \frac{\delta}{(1 - \delta^2)^{1/3}} & \frac{1}{(1 - \delta^2)^{1/3}} \end{pmatrix}$	$\Delta E = V_0(2\tau_4\delta + 2C_{44}\delta^2)$

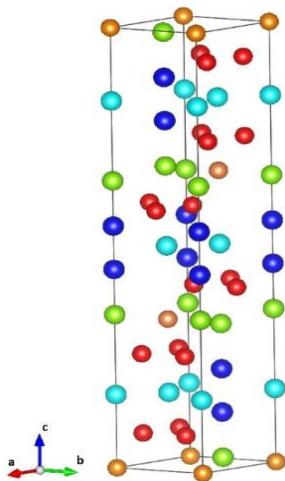
# An example of calculating the strain tensor coefficients $C_{12}$ and $C_{33}$ for $Fe_7Mo_6$



$$\Delta E = V_0 \left[ (\tau_1 - \tau_2)\delta + \frac{1}{2}(C_{11} + C_{22} - 2C_{12})\delta^2 \right]$$

$$(C_{11} + C_{22} - 2C_{12}) = \frac{2}{V_0} d * k$$

$$\begin{pmatrix} \frac{1+\delta}{(1-\delta^2)^{1/3}} & 0 & 0 \\ 0 & \frac{1-\delta}{(1-\delta^2)^{1/3}} & 0 \\ 0 & 0 & \frac{1}{(1-\delta^2)^{1/3}} \end{pmatrix}$$



$$\Delta E = V_0 \left( \tau_3\delta + \frac{C_{33}}{2}\delta^2 \right)$$

$$C_{33} = \frac{2}{V_0} d * k$$

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1+\delta \end{pmatrix}$$

**The calculation of the elastic properties of the Fe<sub>7</sub>Mo<sub>6</sub> polycrystal was carried out from the elastic constants  $C_{ij}$  of the single crystal using the Voigt-Reuss-Hill (VRH) approximation\***

$$B = \frac{1}{2}(B_V + B_R), G = \frac{1}{2}(G_V + G_R) \quad (1)$$

$$E = \frac{9GB}{3B+G}, \quad \nu = \frac{3B-E}{6B} = \frac{E-2G}{2G} \quad (2)$$

$$B_V = \frac{1}{9}(2(C_{11} + C_{12}) + 4C_{13} + C_{33}) \quad (3)$$

$$B_R = \frac{(C_{11}+C_{12})C_{33}-2C_{13}^2}{C_{11}+C_{12}+2C_{33}-4C_{13}} \quad (4)$$

$$G_V = \frac{1}{30}(C_{11} + C_{12} + 2C_{33} - 4C_{13} + 12C_{44} + 12C_{66}) \quad (5)$$

$$G_R = 15/(14S_{11} + 4S_{33} - 8S_{13} - 10S_{12} + 6S_{44})$$

\*R. Hill, The Elastic Behaviour of a Crystalline Aggregate, Proc. Phys. Soc. A 65 (1952) 349-354



## *Elastic coefficients of the Fe<sub>7</sub>Mo<sub>6</sub> compound*

Calculated elastic coefficients  $C_{ij}$  (in GPa) of a single crystal of the Fe<sub>7</sub>Mo<sub>6</sub>.

Compound	Elastic coefficients of Fe <sub>7</sub> Mo <sub>6</sub>					
	$C_{11}$	$C_{12}$	$C_{13}$	$C_{33}$	$C_{44}$	$C_{66}$
Fe <sub>7</sub> Mo <sub>6</sub>	424.57	191.73	136.27	393.86	103.55	116.42
Calc. [1]	433	216	144	389	102	109

As can be seen from the table,  $C_{ij}$  satisfy the criterion of mechanical stability:

$$C_{11} > 0, C_{11} - |C_{12}| > 0, (C_{11} + C_{12}) C_{33} > 2C_{13}^2, C_{44} > 0$$

and, as a consequence, Fe<sub>7</sub>Mo<sub>6</sub> is mechanically stable at zero pressure and T = 0K.

[1] Persson, Kristin. 2016. "Materials Data on Fe<sub>7</sub>Mo<sub>6</sub> by Materials Project"

Calculated elastic moduli (in GPa) and Poisson's ratio  $\nu$  of a polycrystalline  $\text{Fe}_7\text{Mo}_6$  aggregate

Compound	$B_V$	$B_R$	B	$G_V$	$G_R$	G	E	$\nu$	B/G
$\text{Fe}_7\text{Mo}_6$	241.3	239.4	240.3	116.7	99.1	107.8	281.4	0.30	2.33

The ratio  $B/G > 1.75$  indicates that the polycrystalline  $\text{Fe}_7\text{Mo}_6$  aggregate is a ductile material.

Calculated average ( $V_m$ ), shear ( $v_s$ ) and longitudinal ( $v_l$ ) velocities of elastic waves (in m/s); the predicted Debye temperature  $\theta_D$  (in K) and the velocities of elastic waves in the [001] and [100] directions (in m/s) of the  $\text{Fe}_7\text{Mo}_6$   $\mu$ -phase.

Compound	$v_s$	$v_l$	$V_m$	$\theta_D$	[001]		[100]		
					$V_l$	$V_s$	$V_l$	$V_{s1}$	$V_{s2}$
$\text{Fe}_7\text{Mo}_6$	3369	6357	3765	477	6437	3301	6684	3500	3301

[1] O.L. Anderson, A simplified method for calculating the Debye temperature from elastic constants, J. Phys. Chem. Solids 24 (1963) 909-917.

# **Part II**

# **Thermodynamic properties**

## 5. Thermodynamic properties

$$F(T, V) = E_{tot}(V) + F_{mag}(T) + E_D(T, V) - TS_D(T, V) + E_{el}(T, V) - TS_{el}(T, V) \quad (5.1)$$

where  $E_{tot}(V)$  – total energy obtained from ab initio calculations at  $T = 0$  K

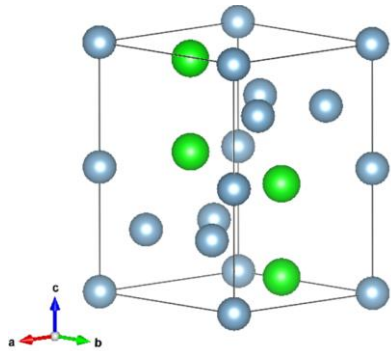
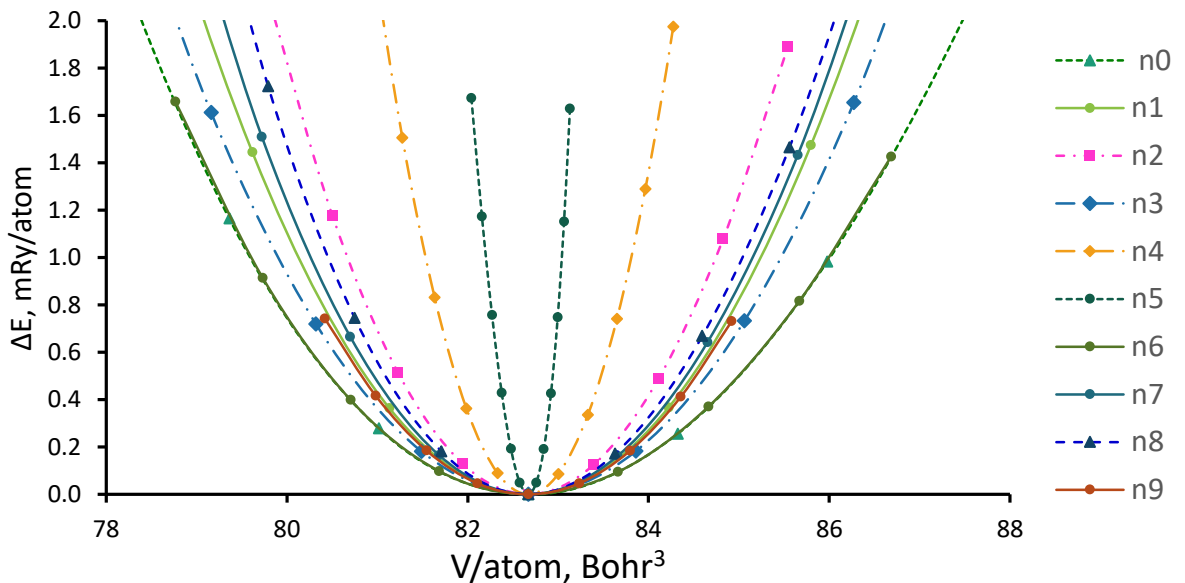
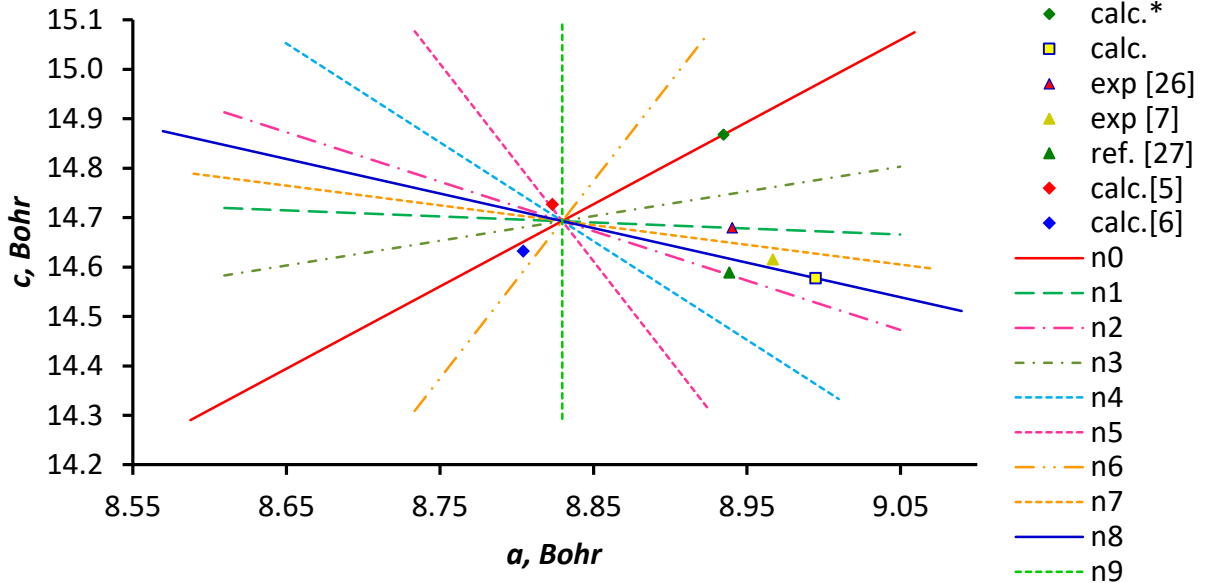
$F_{mag}(T)$  – magnetic contribution to free energy at equilibrium volume

$E_D(T, V)$  and  $S_D(T, V)$  – vibrational and entropy contributions

$E_{el}(T, V)$  and  $S_{el}(T, V)$  – contributions due to thermally excited electrons

# Scheme for calculating the path of thermal expansion of C14 Fe<sub>2</sub>Mo [1]

Paths (directions) n0 ÷ n9 along which the calculations were carried out. The intersection point is the parameters ( $a_0$ ,  $c_0$ ) of Fe<sub>2</sub>Mo obtained at T=0K by DFT.



Total energies E(V) calculated for different paths, n0 ÷ n9

# Fe<sub>2</sub>Mo Laves phase electron energy

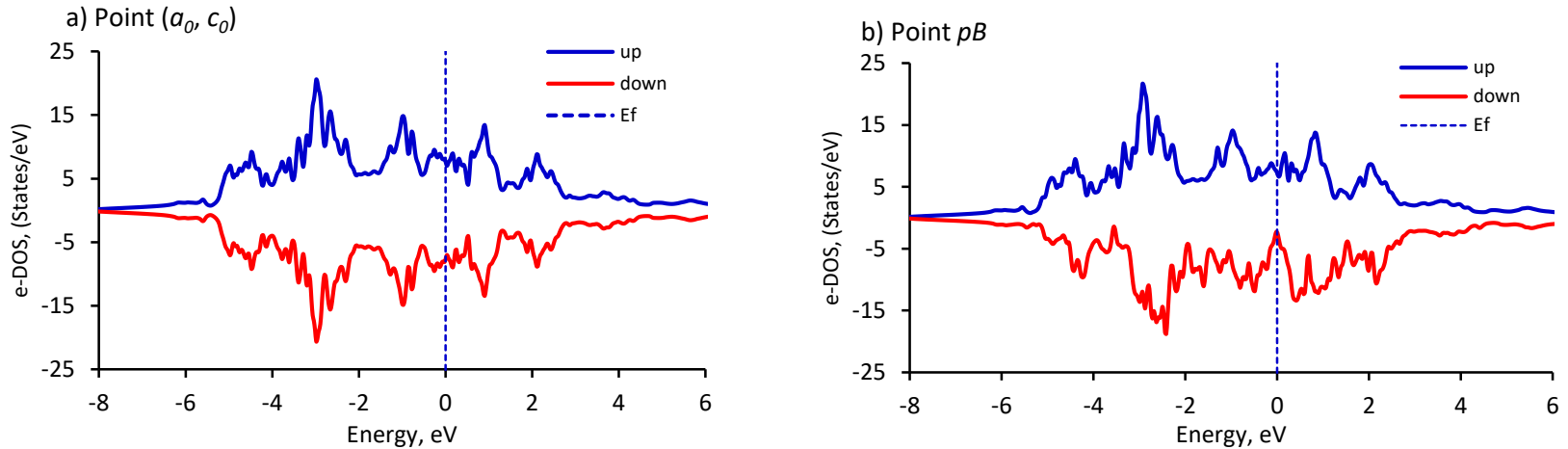


Fig. 4. Total electronic density of states (e-DOS) for Laves phases of Fe<sub>2</sub>Mo, calculated a) at point (a<sub>0</sub>, c<sub>0</sub>) and b) at point pB.

$$E_{el}(T, V) = N_A \int_{-\infty}^{\infty} n(\varepsilon, V) f(\varepsilon, T) \varepsilon d\varepsilon - N_A \int_{-\infty}^{\varepsilon_F} n(\varepsilon, V) d\varepsilon \quad (5.6)$$

$$S_{el}(T, V) = -N_A k_B \int_{-\infty}^{\infty} n(\varepsilon, V) \left( f(\varepsilon, T) \ln(f(\varepsilon, T)) + (1 - f(\varepsilon, T)) \ln(1 - f(\varepsilon, T)) \right) d\varepsilon \quad (5.7)$$

where  $n(\varepsilon, V)$  is the electron density of states,  $f(\varepsilon, T)$  is the Fermi-Dirac distribution,  $N_A$  is Avogadro's constant

[1] C. Kittel Introduction to solid state physics. New York (NY): Wiley, 1996, p.152

[2] N.W. Ashcroft, N.D. Mermin, Solid State physics. Brooks/ Cole, Cengage Learning; 1976, p.54.

## *The vibrational energy of atoms of the compound*

The vibrational and entropic contributions to the energy were calculated according to [the Debye model](#) using the formulas [1]:

$$E_D(T, V) - E_0 = 3N_A k_B T D\left(\frac{\theta_D}{T}\right) \quad E_0 = \frac{9}{8} N_A k_B \theta_D \quad (5.8)$$

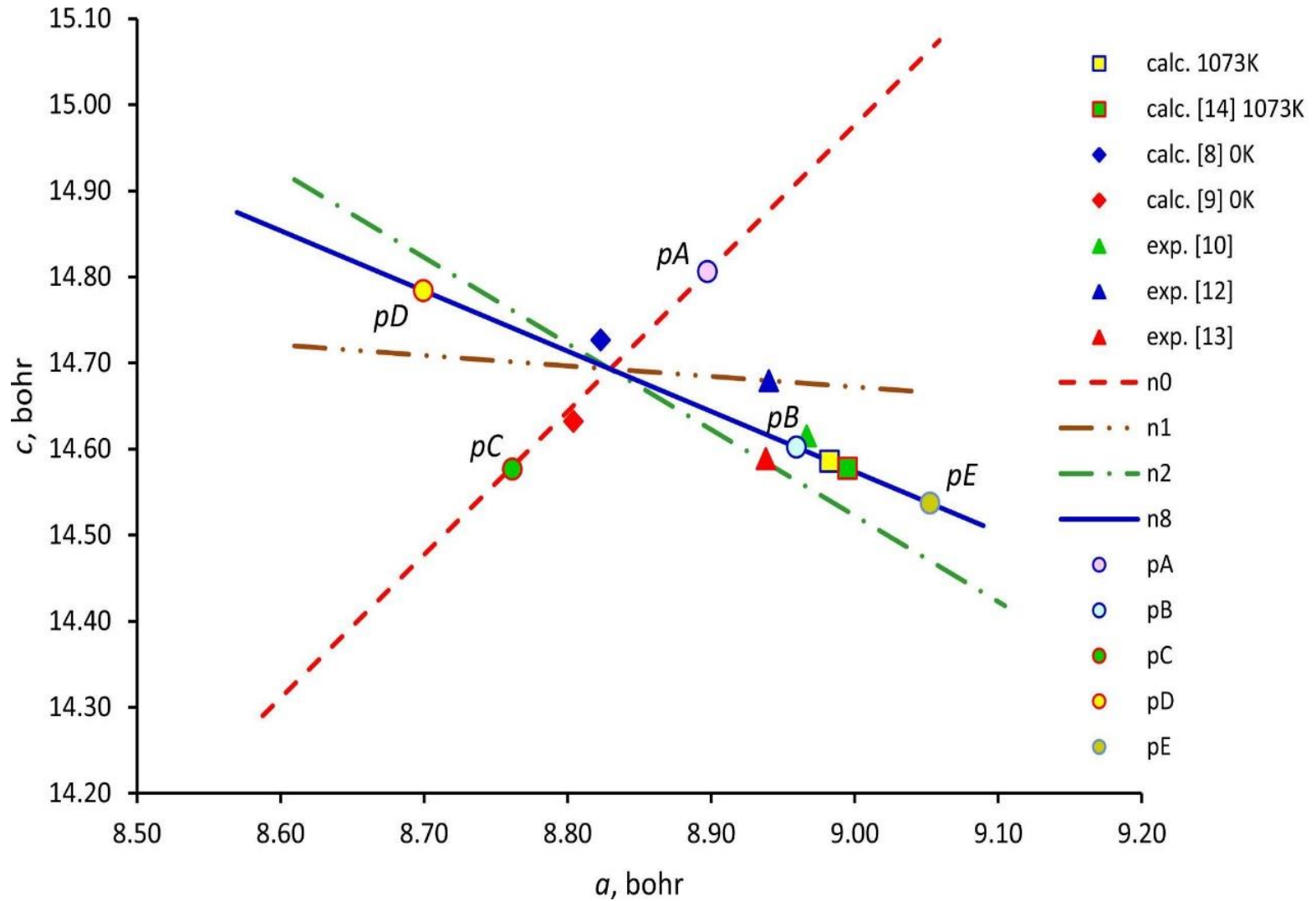
where  $D(\theta_D/T)$  is the Debye function,  $\theta_D$  is the Debye temperature, and  $k_B$  is the Boltzmann constant. Where  $E_0$  is the energy of zero-point vibrations expressed in the Debye approximation

$$S_D(T, V) = 3N_A k_B \left[ \frac{4}{3} D\left(\frac{\theta_D}{T}\right) - \ln\left(1 - \exp\left(-\frac{\theta_D}{T}\right)\right) \right] \quad (5.9)$$

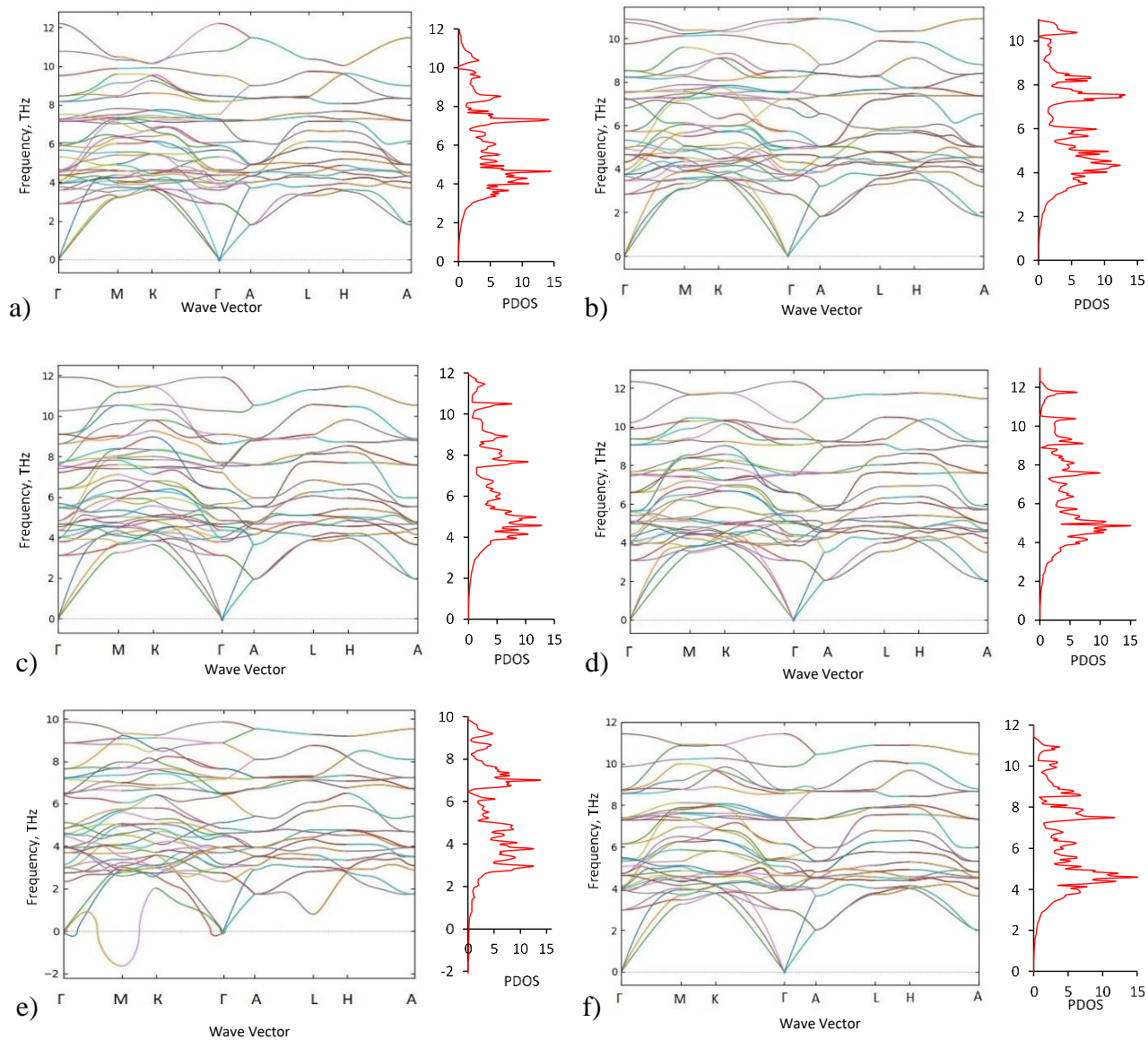
$$F_{phonon}(V, T) = k_B T \int_0^\infty \ln \left[ 2 \cdot \sinh \frac{\hbar\omega}{2k_B T} \right] g(\omega, V) d\omega \quad (5.10)$$

[1] G. Grimvall, Thermo physical properties of materials. Amsterdam: North-Holland. 1999

# Phonon energy calculations

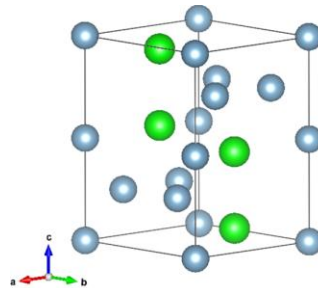
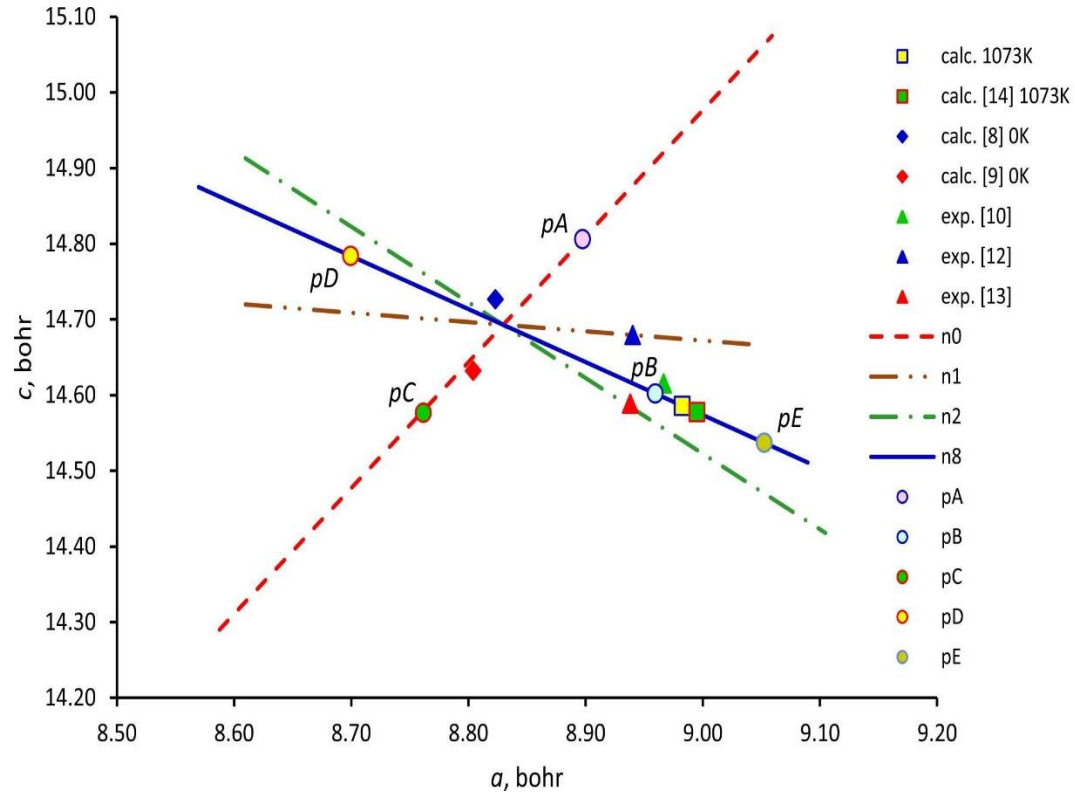
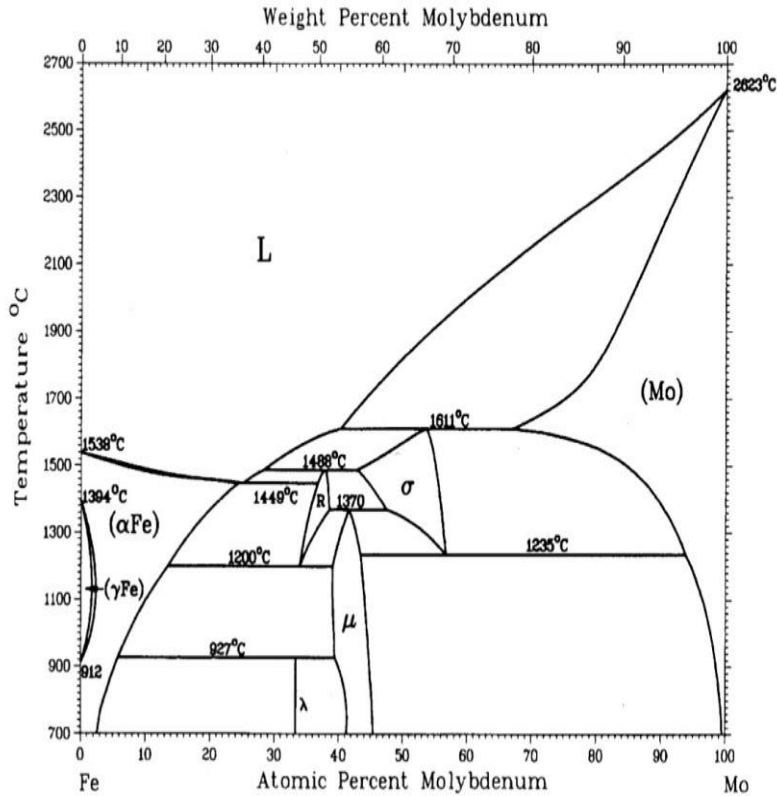






**Рис. 1.** The phonon dispersion curves and the phonon density of states (PDOS) for  $\text{Fe}_2\text{Mo}$  Laves phases calculated at points: a)  $pA$ ; b)  $pB$ ; c)  $pC$ ; d)  $pD$ ; e)  $pE$  and f) point  $(a_0, c_0)$ , [1]  
 [1] D. Vasilyev, *Materials Today Communications*, 35 (2023) 105550.

# Phonon energy calculations



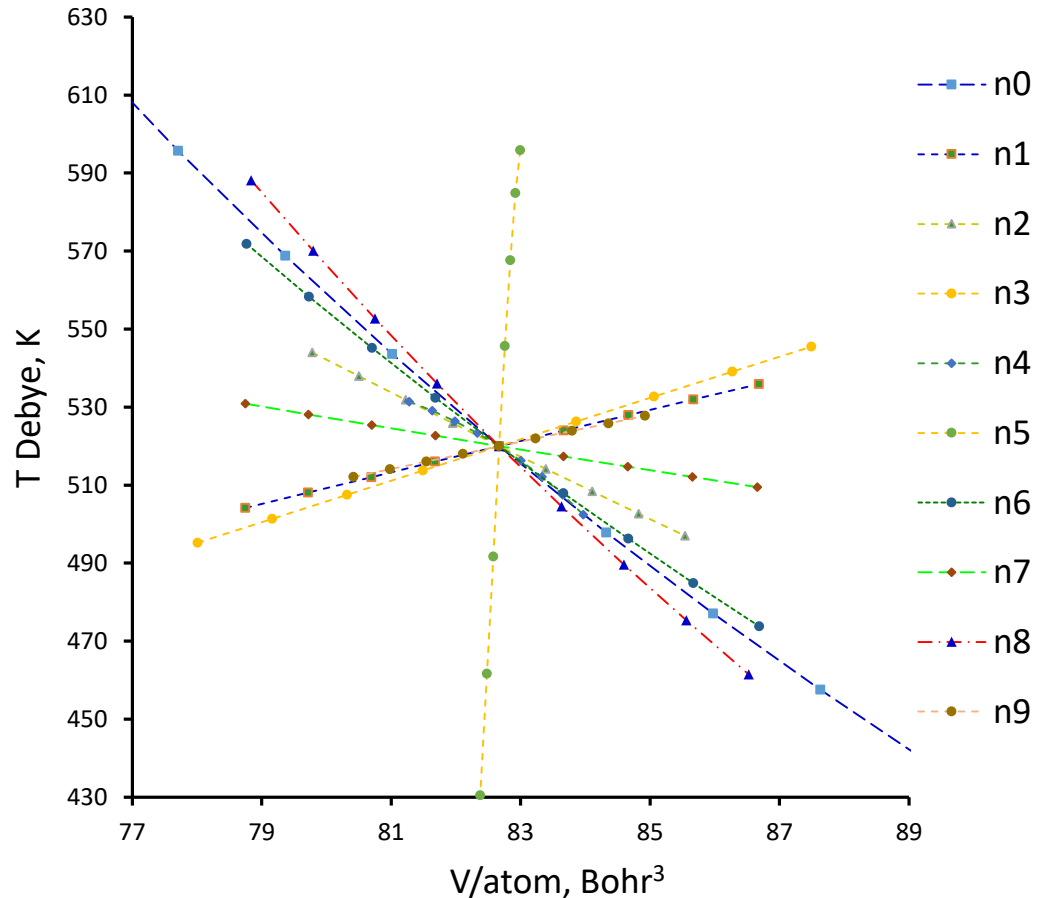
# *Debye temperatures calculated for different paths $n_0 \div n_9$ and Grüneisen parameter of $Fe_2Mo$ compound*

The Grüneisen parameter,  $\gamma$ , was calculated using the formulas

$$\gamma = -1 - \frac{V}{2} \frac{\partial^2 P / \partial V^2}{\partial P / \partial V}$$

Debye temperature,  $\theta_D$ , was calculated as a function of volume

$$\theta_D = \theta_{D_0} \left( \frac{V_0}{V} \right)^\gamma$$



The magnetic component of the free energy of the system in the framework of the approximation of the Indene-Hillert-Jaerl model. [CALPHAD, 1978, p. 227-238]

$$F_{mag}(V, T) = [F'_{mag}(T) - F'_{mag}(0K)] - TS_{mag}(V) \quad (5.2)$$

$$S_{mag}(V) = N_A k_B \sum_{i=1}^n c_i \ln(|\mu_i(V)| + 1) \quad (5.3)$$

$$F'_{magn}(x, T) = R \cdot T \cdot \ln[B_0(x) + 1] \cdot \gamma[\tau(x)] \quad (5.4)$$

$$T_C = \frac{2}{3k_B} (E_{tot}^{PM}(V) - E_{tot}^{FM}(V)) \quad (5.5)$$

Calculated value of **Tc = 299 K**

the mean magnetic moment **B<sub>0</sub> = 0.371 μB**

$$\tau(x) = T/T_c$$

Data base, **A. Dinsdale [SGTE, 1991]** for the pure components

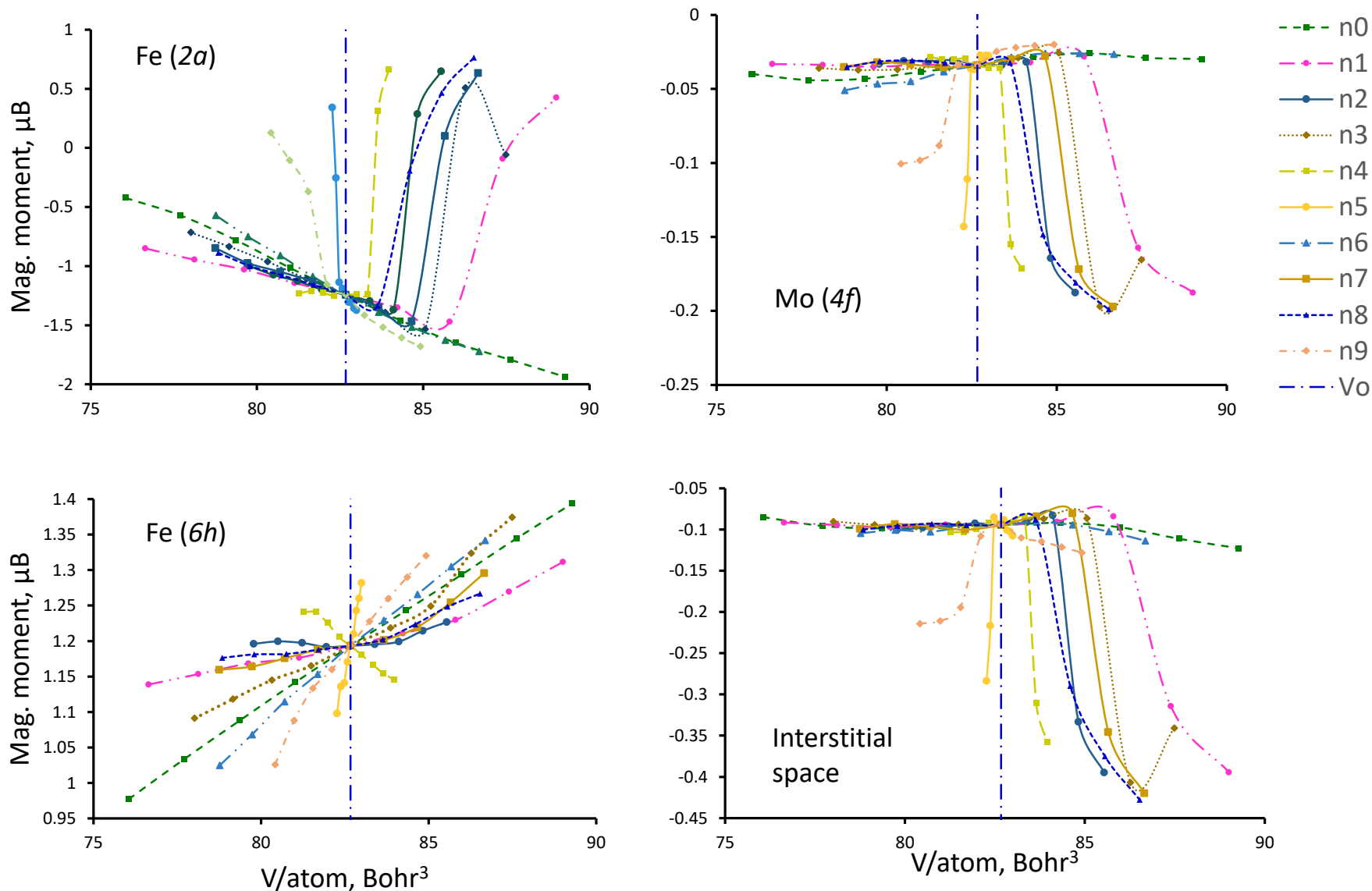
**if  $\tau \leq 1$**   $\gamma(\tau) = 1 - \{79/(140 \cdot \rho \cdot \tau) + [474 \cdot ((1/\rho) - 1)/497] \cdot (\tau^3/6 + \tau^9/135 + \tau^{15}/600)\}/D.$

**if  $\tau > 1$**   $\gamma(\tau) = -1 \cdot \{1/(10 \cdot \tau^5) + 1/(\tau^{15} \cdot 315) + 1/(\tau^{25})\}/D.$

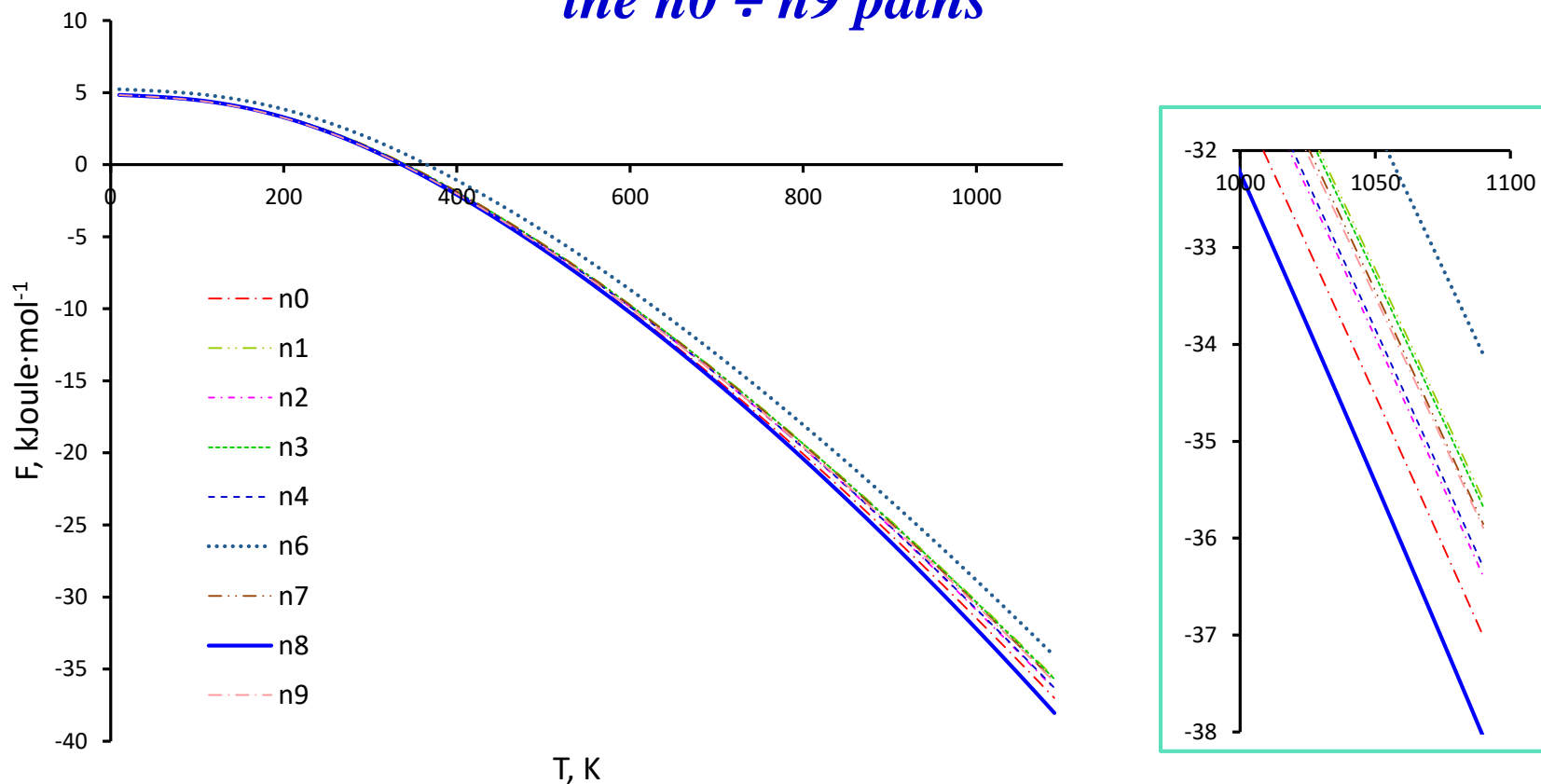
[1] M. Hillert M. Jarl, A model for alloying in ferromagnetic metals, CALPHAD 2(3) (1978), 227-238.

[2] A.T. Dinsdale, SGTE Data for Pure Elements, CALPHAD, 15(4) (1991) 371- 425.

# *Distribution of local magnetic moments ( $\mu_B$ ) of Fe and Mo atoms on the sub-lattices of $Fe_2Mo$ along $n0 \div n9$ paths*

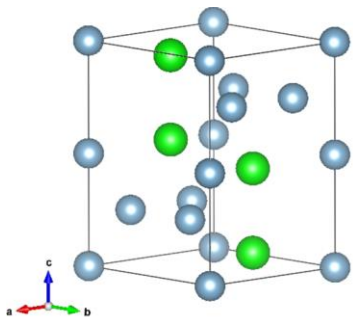
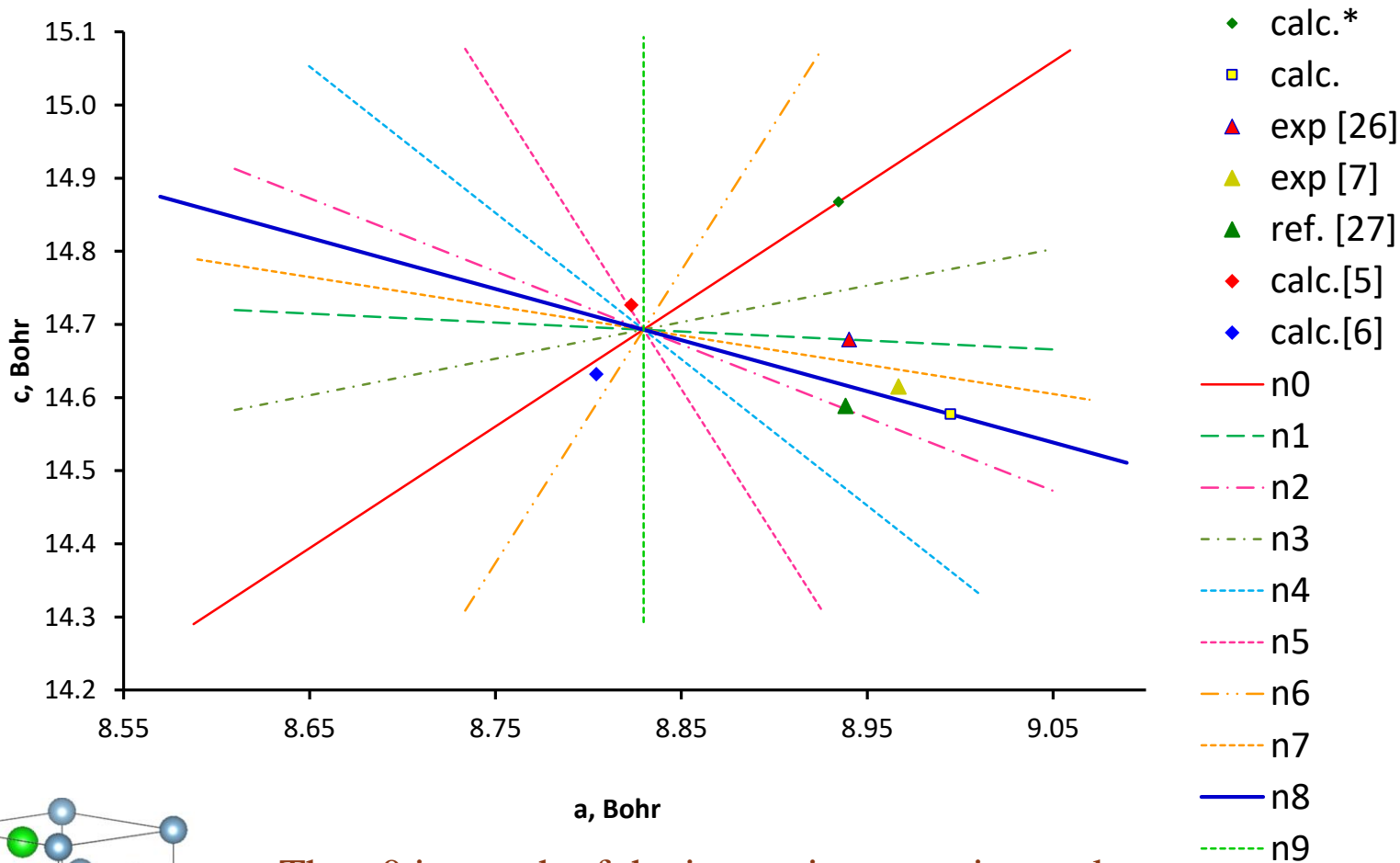


# The Helmholtz free energies of the C14 $Fe_2Mo$ calculated along the $n0 \div n9$ paths



**Figure.** The Helmholtz free energy curves  $F_{ni}(T)$  of Laves phase  $Fe_2Mo$  calculated along  $n0 \div n9$  paths. **The  $n8$  is energetically most favourable path, it's a thermal expansion – contraction path of  $Fe_2Mo$ .**

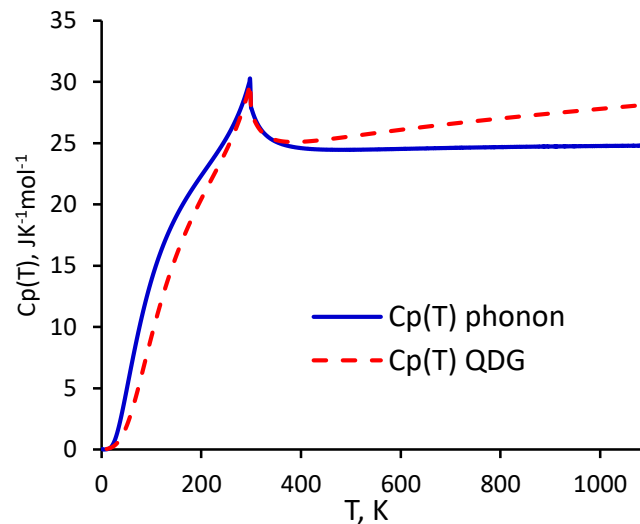
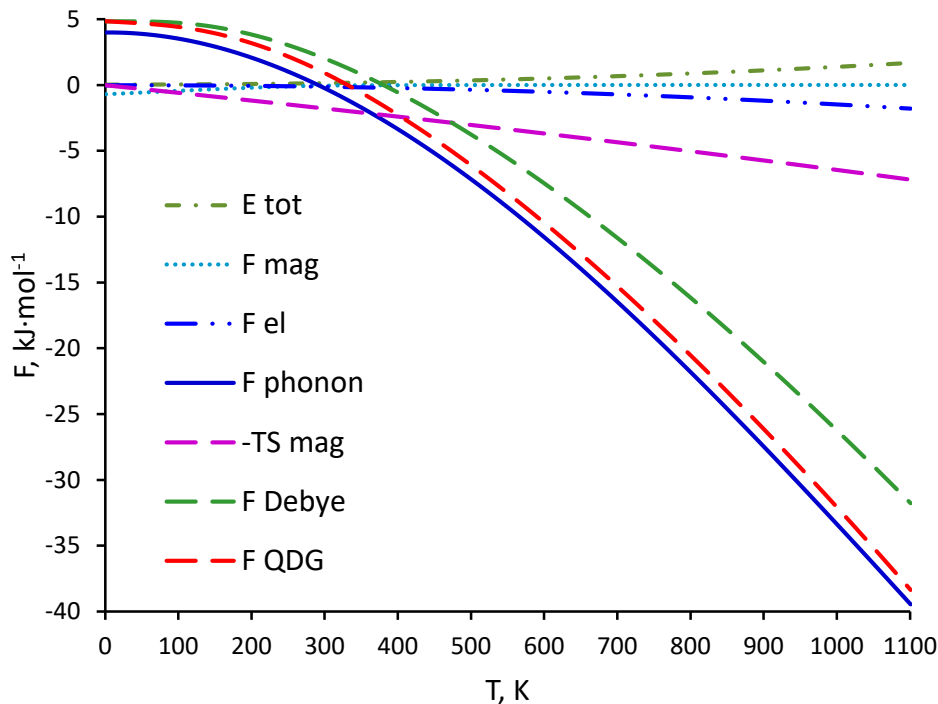
# The $n8$ is the thermal expansion - contraction path of the $Fe_2Mo$



The  $n0$  is a path of the isotropic expansion and contraction where the  $c/a$  ratio remains constant.

\* calculated in this work at  $T = 1073$  K by applying the quasi-harmonic Debye - Grüneisen theory without accounting magnetic contributions to the free energy.

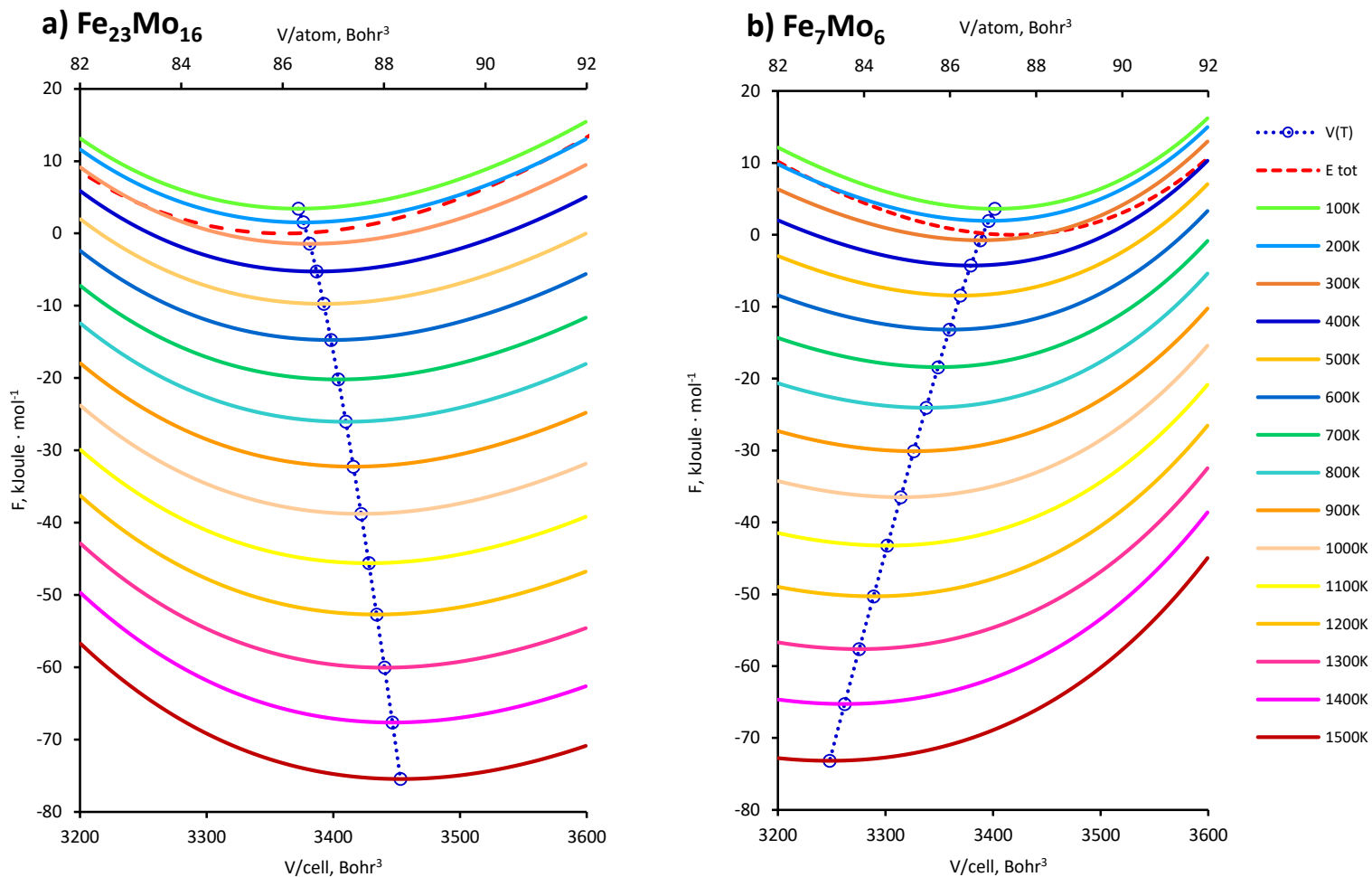
# Temperature dependences of the free energy and heat capacity of the Laves phase of $Fe_2Mo$ , calculated along the thermal expansion – contraction path n8



$$F_{phonon}(V, T) = F_{Debye}(V, T) - T \cdot S_{mag}(V, T) - \delta$$

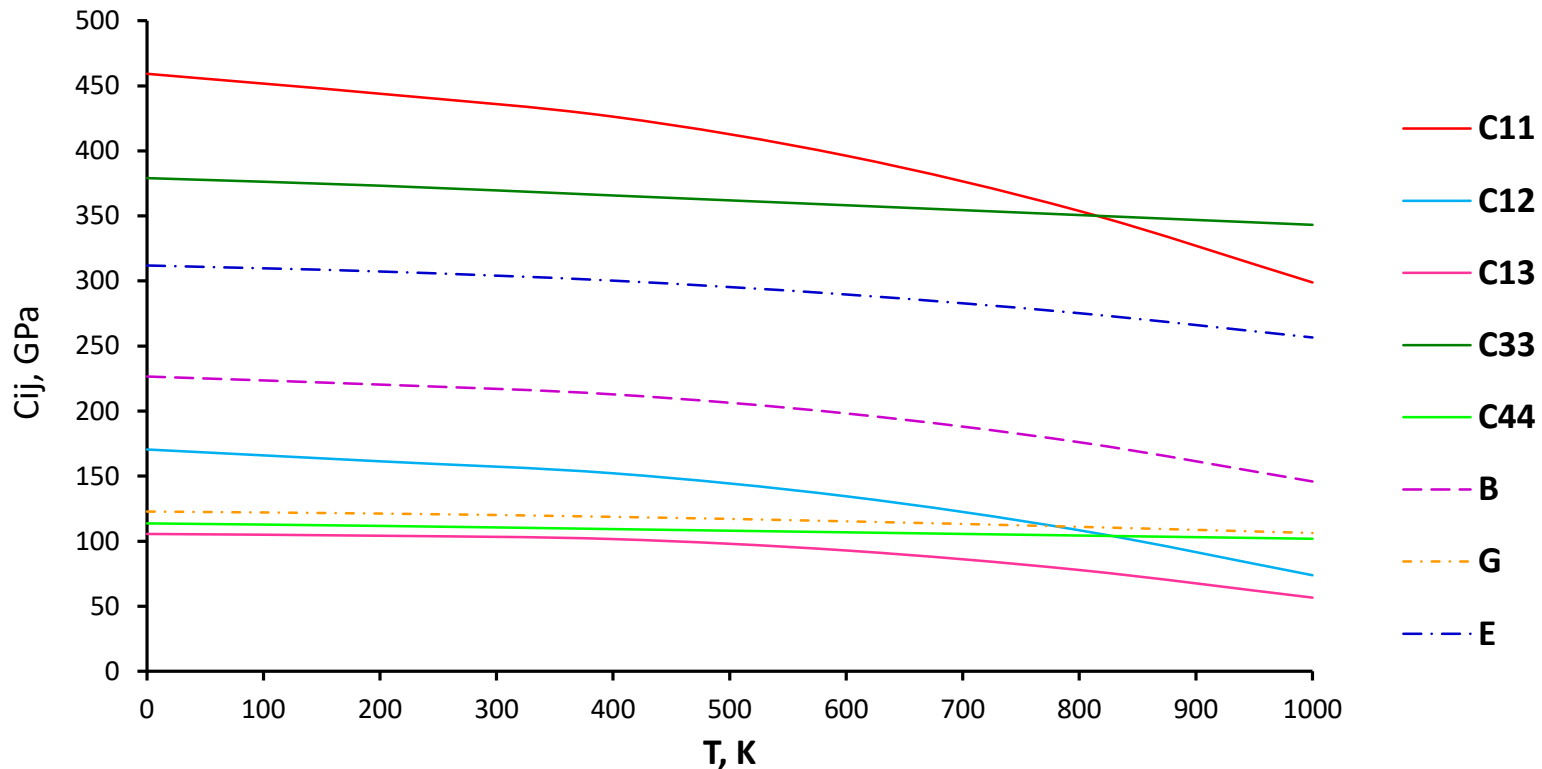
**Fig. a)** magnetic, vibrational, electronic parts; **b)** heat capacity  $C_p(T)$  of the Laves phase of  $Fe_2Mo$  [1], jump in heat capacity - ferro-paramagnetic phase transition according to the applied model [M.Hillert].





**Figure 11.** Functions of free energy  $F(V, T)$  calculated at finite temperatures for a)  $Fe_{23}Mo_{16}$  along the  $k3$  pathway, and b)  $Fe_7Mo_6$  along the  $p3$  pathway. The total static energies are shown by the red dashed lines. The equilibrium volumes  $V_0(T)$  are presented by the blue dotted lines, open circles indicate the equilibrium volumes [1].

# Elastic constants $C_{ij}$ and modules $B$ , $G$ , $E$ of Laves phase $\text{Fe}_2\text{Mo}$



**Figure.** Elastic constants  $C_{ij}$  and modules  $B$ ,  $G$ ,  $E$  of Laves phase  $\text{Fe}_2\text{Mo}$  calculated as functions of temperature along the thermal expansion – contraction path, *n8*.

## Conclusions

1. The independent coefficients of the elastic strain tensor are calculated, which show that  $\text{Fe}_7\text{Mo}_6$  is a mechanically stable phase.
2. Polycrystalline parameters  $B$ ,  $G$ ,  $E$ ,  $\nu$  were calculated from the elastic coefficients for a single crystal using the Voigt-Reuss-Hill approximation.
3. The Debye temperature and sound wave velocities of  $\text{Fe}_7\text{Mo}_6$  were calculated.
4. A method for calculating the path of thermal expansion and contraction of compounds has been presented using the Laves phase  $\text{Fe}_2\text{Mo}$  example.
5. The temperature dependences of the free energy, lattice parameters and heat capacity  $C_p$  were calculated.
6. The influence of magnetic entropy on the stability of  $\lambda$ -phase is shown.

**Thanks for attention!**